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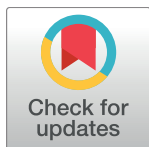
# Longitudinal assessment of sputum microbiome by sequencing of the 16S rRNA gene in non-cystic fibrosis bronchiectasis patients

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**Data Availability Statement:** Sequence data has been submitted to the European Nucleotide Archive and is available under accession number PRJEB14304 and sample accessions ERS1201047 to ERS1201427. In addition, the denoised reads, OTU table and clinical data are available to download at [[http://lungen.biinformatics.ic.ac.uk/data/microbiome\\_LAMB\\_bronchiectasis/](http://lungen.biinformatics.ic.ac.uk/data/microbiome_LAMB_bronchiectasis/)] and at BioStudies accession S-BSST1 [<https://www.ebi.ac.uk/biostudies/studies/S-BSST1/>]. The clinical data is also available as [S1 Table](#).

## Abstract

### Background

Bronchiectasis is accompanied by chronic bronchial infection that may drive disease progression. However, the evidence base for antibiotic therapy is limited. DNA based methods offer better identification and quantification of microbial constituents of sputum than standard clinical culture and may help inform patient management strategies. Our study objective was to determine the longitudinal variability of the non-cystic fibrosis (CF) bronchiectasis microbiome in sputum with respect to clinical variables. Eighty-five patients with non-CF bronchiectasis and daily sputum production were recruited from outpatient clinics and followed for six months. Monthly sputum samples and clinical measurements were taken, together with additional samples during exacerbations. 16S rRNA gene sequencing of the sputum microbiota was successful for 381 samples from 76 patients and analysed in conjunction with clinical data.

### Results

Microbial communities were highly individual in composition and stability, usually with limited diversity and often containing multiple pathogens. When compared to DNA sequencing, microbial culture had restricted sensitivity in identifying common pathogens such as *Pseudomonas aeruginosa*, *Haemophilus influenzae*, *Moraxella catarrhalis*. With some exceptions, community characteristics showed poor correlations with clinical features including underlying disease, antibiotic use and exacerbations, with the subject showing the strongest association with community structure. When present, the pathogens mucoid *Pseudomonas aeruginosa* and *Haemophilus influenzae* may also shape the structure of the rest of the microbial community.

### Conclusions

The use of microbial community analysis of sputum added to information from microbial culture. A simple model of exacerbations driven by bacterial overgrowth was not supported,

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**Competing Interests:** DB received a grant from Novartis UK for sequencing costs. The funder had no involvement in study design, collection or analysis of data, or in the decision to publish. This does not alter our adherence to PLOS ONE policies on sharing data and materials.

**Abbreviations:** URTF, Growth of upper respiratory tract flora; Paer, *Pseudomonas aeruginosa* isolation; MucPaer, Mucoi *Pseudomonas aeruginosa* isolation; Mcat, *Moraxella catarrhalis* isolation; Bcep, *Burkholderia cepacia* isolation; Spneu, *Streptococcus pneumoniae* isolation; Saur, *Staphylococcus aureus* isolation; MRSA, Methicillin resistant *Staphylococcus aureus* isolation; Hflu, *Haemophilus influenzae* isolation; MucHflu, Mucoi *Haemophilus influenzae*; Candida, *Candida* spp. isolation; Asp, *Aspergillus* spp. isolation; Pvul, *Proteus vulgaris* isolation; Smalt, *Stenotrophomonas maltophilia* isolation; GrpCStrep, Beta-haemolytic group C *Streptococcus* isolation; Coli, Coliform isolation; AcycloPPx, Prophylactic ACYCLOVIR; AmoxPPx, Prophylactic AMOXICILLIN; AugPPx, Prophylactic AUGMENTIN/CO AMOX; AziPPx, Prophylactic AZITHROMYCIN; CazPPx, Prophylactic CEFTAZIDIME; CaxPPx, Prophylactic CEFTRIAXONE; CiproPPx, Prophylactic CIPROFLOXACIN; ClaPPx, Prophylactic CIARITHROMYCIN; ColoPPx, Prophylactic COLOMYCIN; SepPPx, Prophylactic CO-TRIMOXAZOLE(SEPTRIN); DoxyPPx, Prophylactic DOXYCYCLINE; EthPPx, Prophylactic ETHAMBUTOL; FlucloPPx, Prophylactic FLUCLOXACILLIN; FlucloPPx, Prophylactic FLUCONAZOLE; GentIVPPx, Prophylactic GENTAMICIN IV; GentNebPPx, Prophylactic GENTAMICIN NEB; MeroIVPPx, Prophylactic MEROPENEM IV; MinoPPx, Prophylactic MINOCYCLINE; MoxiPPx, Prophylactic

suggesting a need for revision of principles for antibiotic therapy. In individual patients, the management of chronic bronchial infection may be improved by therapy specific to their microbiome, taking into account pathogen load, community stability, and acute and chronic community responses to antibiotics.

## Introduction

Bronchiectasis is characterised by abnormal dilated thick-walled bronchi and is often accompanied by chronic bronchial infection. Patients with advanced disease may produce copious volumes of purulent sputum and lung function may be severely and progressively impaired. The prevalence of non-CF bronchiectasis in the US has been estimated at 272 per 100 000 persons over 75 years of age and hospitalisation rates are increasing [1].

Although chronic infection with episodes of exacerbation may drive the progression of bronchiectasis, the evidence base for antibiotic therapy is limited [2]. An underlying assumption is often that exacerbations are driven by the overgrowth of a particular microbial species, although mixed pathogen colonisations are recognised. Antibiotic choice in current practice is initially empirical until sputum cultures are obtained and then directed by isolated organism [2].

Standard microbial cultures are selective, identifying a restricted range of bacterial species in clinical samples. Molecular, culture-independent, techniques such as 16S rRNA gene sequencing have been shown to detect a much greater variety of microbes from the same specimens as standard culture techniques [3–9].

In order to understand the potential impact of culture-independent techniques on the management of chronic bronchial infection, we have carried out a prospective six-month study of patients with computerised tomography (CT) -defined bronchiectasis attending clinics at the Royal Brompton Hospital, London. Recruited patients were studied at monthly intervals and during any exacerbations.

We present here the results of quantification of the bacterial burden by quantitative polymerase chain reaction (qPCR) of the 16S rRNA gene and community analyses, comparing them to clinical outcomes and microbiological cultures.

Microbial diversity reflects the number of species, their presence and their abundance, in a study. Higher levels of diversity are associated with resilience of microbial communities to invasion, and may characterise human health. We have therefore examined associations with the number and proportions of species in individual patients (captured by measures of  $\alpha$ -diversity), and with the community structure across patients (reflected in  $\beta$ -diversity statistics).

Some of this work has been presented before in the form of an abstract and presentation at the American Thoracic Society International Conference 2015 [10] and pre-print at [BioArxiv.org](https://doi.org/10.1101/017062) [11]

## Material and methods

### Participants

We recruited patients with CT-defined non-CF bronchiectasis and daily sputum production between December 2010 and May 2011 at the Royal Brompton Hospital, a tertiary referral centre. Patients gave their full informed written consent and the study was approved by South

MOXIFLOXACIN; PenPPx, Prophylactic  
PENICILLIN; RifPPx, Prophylactic RIFAMPICIN;  
TazoPPx, Prophylactic TAZOCIN; TriPPx,  
Prophylactic TRIMETHOPRIM; AcycloEx,  
Exacerbation ACYCLOVIR; AmoxEx, Exacerbation  
AMOXICILLIN; AugEx, Exacerbation AUGMENTIN/  
CO AMOX; AziEx, Exacerbation AZITHROMYCIN;  
CazEx, Exacerbation CEFTAZIDIME; CaxEx,  
Exacerbation CEFTRIAXONE; CepoEx, Exacerbation  
CEPODOXIME; CiproEx, Exacerbation  
CIPROFLOXACIN; ClaEx, Exacerbation  
CIARITHROMYCIN; ColoEx, Exacerbation  
COLOMYCIN; SepEx, Exacerbation CO-  
TRIMOXAZOLE(SEPTRIN); DoxyEx, Exacerbation  
DOXYCYCLINE; ErythEx, Exacerbation  
ERYTHROMYCIN; EthEx, Exacerbation  
ETHAMBUTOL; FlucloEx, Exacerbation  
FLUCLOXACILLIN; FlucloEx, Exacerbation  
FLUCONAZOLE; GentIVEx, Exacerbation  
GENTAMICIN IV; GentNebEx, Exacerbation  
GENTAMICIN NEB; ErtalIVEx, Exacerbation IV  
ERTAPENEM; MeroIVEx, Exacerbation  
MEROPENEM IV; MinoEx, Exacerbation  
MINOCYCLINE; MoxiEx, Exacerbation  
MOXIFLOXACIN; PenEx, Exacerbation PENICILIN;  
RifEx, Exacerbation RIFAMPICIN; TazoEx,  
Exacerbation TAZOCIN; TriEx, Exacerbation  
TRIMETHOPRIM; AcycloNR, Non respiratory  
ACYCLOVIR; AmoxNR, Non respiratory  
AMOXICILLIN; AugNR, Non respiratory  
AUGMENTIN/CO AMOX; AziNR, Non respiratory  
AZITHROMYCIN; CamNR, Non respiratory  
CHLORAMPHENICOL; CiproNR, Non respiratory  
CIPROFLOXACIN; DoxyNR, Non respiratory  
DOXYCYCLINE; FlucloNR, Non respiratory  
FLUCLOXACILLIN; OxyNR, Non respiratory  
OXYTETRACYCLINE; TriNR, Non respiratory  
TRIMETHOPRIM; Spiriva, Respiratory medication:  
SPIRIVA; InhSteroid, Respiratory medication:  
STERIOD INH; InhCombin, Respiratory medication:  
COMBIN INH; OralSteroid, Respiratory medication:  
ORAL STER; Atro, Respiratory medication:  
ATROVENT; Posa, Respiratory medication:  
POSACONAZOLE; Carbo, Respiratory medication:  
CARBOCISTEINE; Vent, Respiratory medication:  
VENTOLIN; VentNeb, Respiratory medication:  
VENTOLIN NEBULISED; Amino, Respiratory  
medication: AMINOPHYLLINE; Monte, Respiratory  
medication: MONTELUKAST; IVIG, Respiratory  
medication: IV IG; SCIG, Respiratory medication:  
SC IG; Itra, Respiratory medication:  
ITRACONAZOLE; Vori, Respiratory medication:  
VORICONAZOLE; NaNeb, Respiratory medication:  
HYPER TONIC SALINE NEB; NasWash, Respiratory  
medication: NASAL WASHOUTS; NasSteroid,  
Respiratory medication: NASAL STEROIDS;  
Flucon, Respiratory medication: FLUCONAZOLE;

West London Research Ethics Committee under reference number 10/H0801/53. Patients had all previously been screened according to the British Thoracic Society bronchiectasis guidelines[2]. Patients had monthly research visits at which fresh sputum samples were collected and spirometry and clinical assessment performed. Body mass index (BMI) measurements were taken only at the initial visit. Patients were encouraged to attend the centre for a suspected exacerbation and to provide a further sputum sample. An exacerbation was defined as an acute deterioration with worsening local symptoms (increased cough, sputum volume, viscosity, purulence, breathlessness) and/or systemic upset and the physician determined need for antibiotics as per the BTS bronchiectasis guidelines. The clinical state for each sample was defined as baseline (B), exacerbation (E), treatment (T), or recovery (R) as previously published [12]. Briefly, B was defined as well or mild increase in respiratory symptoms, no doctor defined respiratory exacerbation, not hospitalised, not on episodic antibiotics for more than 30 days. E was defined as a doctor defined respiratory exacerbation, the sample was prior to the start of episodic intravenous (IV) or oral antibiotics, not on episodic antibiotics for more than 30 days. T was defined as on IV or oral episodic antibiotics for treatment of doctor defined respiratory exacerbation whilst R was defined as off episodic antibiotics for less than 30 days and may or may not be back to baseline clinical state. Patients recorded antibiotic use during the six-month period. Patients gave their full, informed, written consent and the study was approved by South West London Research Ethics Committee 1 under reference number 10/H0801/53

Sputum samples underwent the standard clinical microbiology and reporting for non-CF sputum samples (Chocolate agar and Blood agar at 5% CO<sub>2</sub> and 37°C, MacConkey agar at 37°C). Samples for molecular testing were stored frozen at -80°C prior to DNA extraction which was performed using the FastDNA Spin Kit for Soil (MP Biomedicals, Santa Ana, CA, USA) as per manufacturer instructions (including 55°C incubation at elution). Bead-beating was performed at 6800 rpm for two cycles of 30 seconds (Precellys, Bertin Technologies, Montigny-le Bretonneux, France).

## Molecular microbiology

Quantitative PCR of each extracted DNA was performed in triplicate using the Viia7 Real Time PCR system (Life Technologies, Waltham, MA, USA) and the primers 520 F AYT GGG YDT AAA GNG and 802 R TAC NVG GGT ATC TAA TCC targeting the 16S rRNA gene V4 region. Samples that failed to amplify were repeated twice to confirm the result. Reaction conditions are available in [S1 Appendix](#).

16S rRNA gene amplification of the V3–V5 region was performed in quadruplicate using adapted primers 357F/926R [13] with 12 bp barcodes included in the reverse primer [14] and 454 sequencing adaptors A and B included in the reverse and forward primers respectively. Sequencing was performed on a Roche 454 (454 Life Sciences, Branford, CT, USA) and further methodology and sequence processing is detailed in [S1 Appendix](#). The resulting operational taxonomic unit (OTU) table of 381 samples and 352 OTUs was used for all subsequent analyses and imported to the R statistical environment as a PhyloSeq [15] object, along with a mid-point rooted FastTree phylogenetic tree. A cross-sectional dataset of 72 samples and 194 OTUs was produced by sub-sampling the first baseline classified (B in the BETR scheme) sample available from each patient in PhyloSeq. Sequence data has been submitted to the European Nucleotide Archive and is available under accession number PRJEB14304 and sample accessions ERS1201047 to ERS1201427. In addition the denoised reads, OTU table and clinical data are available to download at [http://lungen.bioinformatics.ic.ac.uk/data/microbiome\\_LAMB\\_](http://lungen.bioinformatics.ic.ac.uk/data/microbiome_LAMB_)

Brycanyl, Respiratory medication: BRYCANYL;  
Serevent, Respiratory medication: SEREVENT;  
Theo, Respiratory medication: THEOPHYLLINE;  
O2, Respiratory medication: OXYGEN.

bronchiectasis/ and at BioStudies accession S-BSST1 <https://www.ebi.ac.uk/biostudies/studies/S-BSST1/>. The clinical data is also available as [S1 Table](#).

For comparisons with microbial culture, OTUs were selected by either being the most abundant OTU with similar identification (*Pseudomonas*, *Moraxella*, *Streptococcus*), the only OTU identified as that genus (*Stenotrophomonas*, *Proteus*) or the representative read positively identified by phylogenetic analyses as the same species (*Haemophilus influenzae*).

## Statistics

Statistical analysis was performed in the R statistical environment (version 3.1.2, [16]).

Species richness, Pielou's evenness, Shannon's Diversity Index, and Inverse Simpson's Index were calculated for each sample. Diversity metrics were assessed for normality using Shapiro-Wilk's tests and quantile-quantile plots ([S1 Fig](#)). Shannon's Diversity Index, Inverse Simpson's Index and Pielou's evenness were tested against variables using Wilcoxon Signed Rank and Kruskal Wallis Rank Sum tests. Species richness was normally distributed and tested using Student's T-test and analysis of variance (ANOVA). Spearman's Rank correlations were used for continuous variables (e.g. FEV1, BMI). Bacterial load as determined by qPCR was normally distributed and paired Student's T-test used; to do so, the first available consecutive samples of each combination of BETR class were used from each patient in this analysis i.e. B-B, B-E, B-T and B-R. If there was no available B sample in the month prior to another class, this pair was dropped.

For the Adonis permutational multivariate ANOVA (PERMANOVA) analyses, all variables were tested independently in the cross-sectional dataset. Those that proved to be significant were taken forward for further testing together in a larger model. Non-significant variables were backward removed from the models and samples with missing data were removed list wise. The order of the variables remaining was also tested as this can influence a PERMANOVA and the final model explains the maximum variance possible in the most limited number of variables. The final variables included in order were: isolation of mucoid *Pseudomonas aeruginosa*, isolation of *Haemophilus influenzae*, prophylactic treatment with Colistin and isolation of *Staphylococcus aureus* and a more detailed description of the model and  $R^2$  values can be found in the [S1 Appendix](#). PERMANOVA was also used with the longitudinal dataset, but only to look at the variance explained by subjectID as it is not suitable for repeated measures.

A version of this manuscript was archived on the pre-print server BioRxiv[11] and some of the data was presented at the American Thoracic Society Conference[10].

## Results

### Patient characteristics

Eighty-five subjects were recruited and produced 467 sputum samples. Post DNA and sequencing quality controls, 381 samples from 76 subjects were included in the longitudinal analysis. A cross-sectional dataset was created by taking the first baseline sample of 72 subjects. The remaining four subjects had only exacerbation, treatment or recovery samples. The aetiology of the bronchiectasis was most commonly idiopathic (47%) or post-infective (25%) ([Table 1](#) and [Fig 1](#)). In general, the disease was severe (median baseline FEV1% predicted 63%, IQR 54% to 82%).

### Baseline microbiome

DNA was successfully extracted, PCR amplified and amplicons sequenced for 411 samples, yielding a total of 956,269 high-quality reads after quality control (see [S2 Fig](#) in the online data supplement). We chose a randomly re-sampled threshold of 451 reads to ensure no bias between



**Table 1. Patient Demographics.**

	Baseline Cross-Sectional	Longitudinal
Number of Subjects	72	76
Number of Samples	72	381
Sex n (%) Female	49 (68)	
Median Age (Inter Quartile Range)	62 (55–68)	
Median BMI (IQR)	19.4 (16.9–22.25)	
Smoking Status n (%)		
<i>Current</i>	0 (0)	
<i>Ex</i>	17 (24)	
<i>Never</i>	47 (65)	
<i>Unknown</i>	8 (11)	
Cause of bronchiectasis n (%)		
<i>Idiopathic</i>	34 (47)	
<i>Post-Infection</i>	18 (25)	
<i>Allergic Bronchopulmonary Aspergillosis (ABPA)</i>	8 (11)	
<i>Primary Ciliary Dyskinesia (PCD)</i>	7 (10)	
<i>Immunodeficiency</i>	2 (3)	
<i>Other</i>	3 (4)	
Lung Function		
<i>Median percent predicted FEV1 (IQR)</i>	63 (54–82)	
<i>Median percent predicted FVC (IQR)</i>	79 (69–84)	
Clinical status (BETR Category) n (%)		
<i>Baseline (B)</i>	72 (100)	261 (69)
<i>Exacerbation (E)</i>		36 (9)
<i>Treatment (T)</i>		17 (4)
<i>Recovery (R)</i>		67 (18)

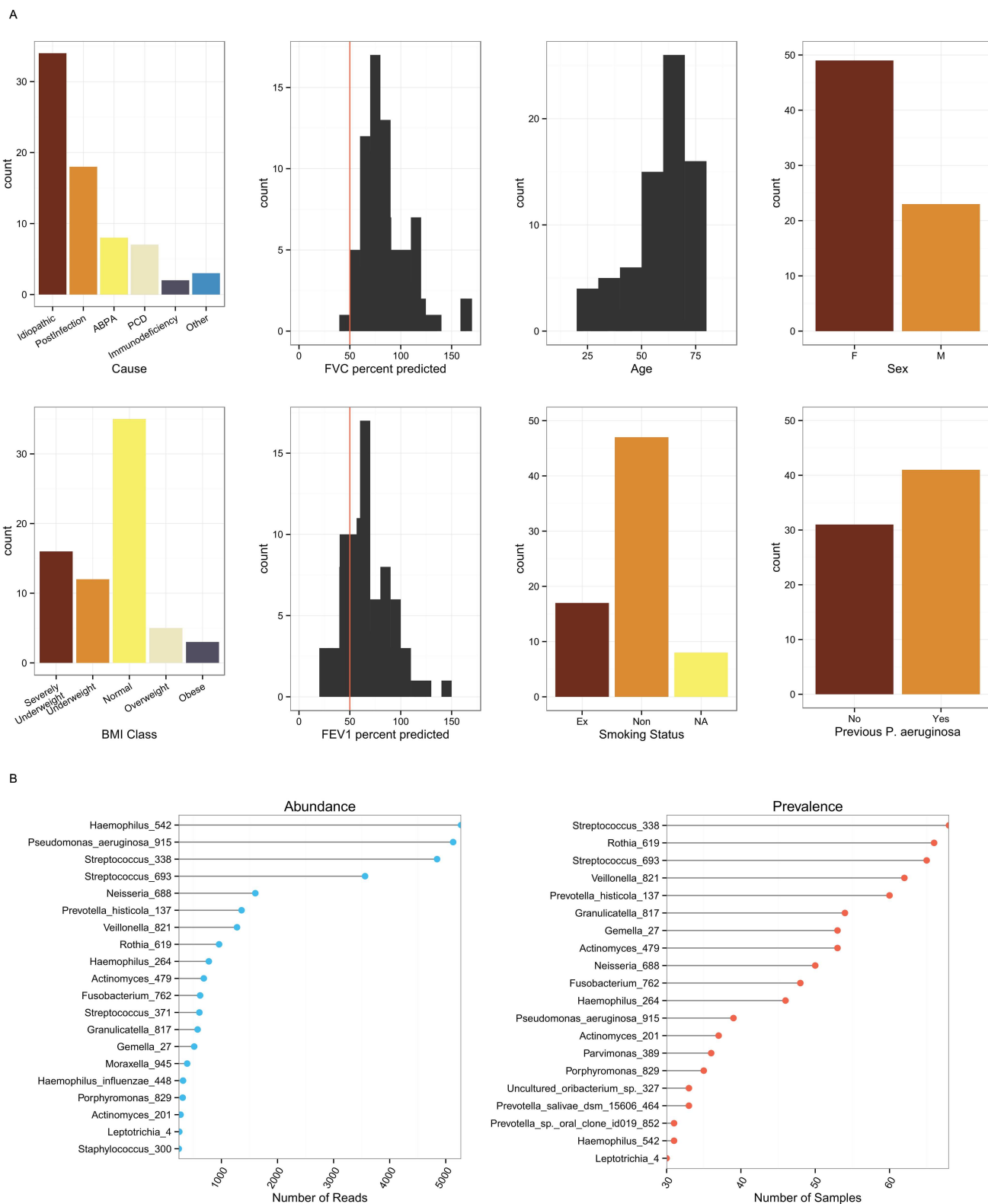
doi:10.1371/journal.pone.0170622.t001

sample comparisons (S3 and S4 Figs). The number of reads used discriminates very well between samples and individuals and rarefaction curves reach an asymptote indicating sufficient sampling (S1 Appendix).

For the cross-sectional baseline data set, phylogenetic analyses showed the presence of 352 OTUs, 150 of which were present in more than one subject with 21 being present at an overall abundance > 0.5%. *Haemophilus\_542* was the most abundant OTU overall, followed by *Pseudomonas\_aeruginosa\_915* and *Streptococcus\_338* (Fig 1B). Using phylogenetic analysis we were able to confirm the *Haemophilus\_542* OTU to represent *H. influenzae* (see S5 Fig in the online data supplement). This approach was also attempted for *Streptococcus\_338*, but the resulting phylogenetic trees were unable to discriminate Streptococcal OTUs at the species level (data not shown).

The most common organisms detected in sputum by clinical culture, for subjects for whom we also had 16S rRNA gene sequence data, were *Pseudomonas aeruginosa* (45.3% of subjects), *Staphylococcus aureus* (21.3%) and *Haemophilus influenzae* (14.7%).

We compared 16S rRNA gene sequences with microbial culture by classifying OTUs as either present or absent in a subject. Comparison with DNA sequences and culture, with sequence as the putative gold standard, suggested that the calculated accuracy of cultures for *P. aeruginosa* was 71% and for *H. influenzae* was 62%, although the sensitivities were only 52% and 18%, with disagreement commonly observed in culture negative, 16S rRNA gene positive samples (Table 2). The apparent false discovery rate relative to 16S rRNA gene sequencing for



**Fig 1.** 1A. Demographics of the non-CF bronchiectasis cohort. Indicating distribution of (from left to right, top to bottom): the cause of bronchiectasis; FVC percent predicted (red line indicates 50%); subject age; subject sex; BMI class; FEV1 percent predicted (red line indicates 50%); smoking status; and whether subject has previously cultured *P. aeruginosa*. 1B. Distribution of OTUs within the cohort. Abundance is the total number of reads assigned to an OTU from any sample. Prevalence is how often an OTU is detected in samples. Haemophilus\_542

was most abundant, contributing 16% of all reads in the dataset. *Streptococcus\_338* was most prevalent and was found to some degree in every sample.

doi:10.1371/journal.pone.0170622.g001

culturing *P. aeruginosa* was 11%, and for *S. aureus* was 64%. There was poor accuracy (9%) and sensitivity (4%) for Streptococcal OTUs compared with culture.

Alpha-diversity by all measures (Shannon Diversity Index, Inverse Simpsons Index, species richness and evenness) was significantly lower if the subject was receiving prophylactic antibiotics, or if any organism had been isolated from the sample, or if mucoid *P. aeruginosa* had been isolated (Fig 2A). The patients' gender, treatment with steroids, age, forced expiratory volume in one second (FEV1), forced vital capacity (FVC), body mass index (BMI), and the number of years since first *P. aeruginosa* isolate were not associated with diversity.

## β-diversity

Bray-Curtis dissimilarity was calculated in order to compare the relationship between communities of baseline samples from each individual and clinical factors. We constructed PERMANOVA models of the baseline cross-sectional dataset to test the effect of individual variables on between-sample β-diversity. Variables were removed from the model if they were no longer significant, optimising the fewest number of variables that together explained the highest proportion of variance. The variance of diversity was significantly related to treatment with prophylactic Colistin ( $R^2$  0.04,  $P = 0.008$ ) and by isolation of mucoid *P. aeruginosa* ( $R^2$  0.14,  $P < 0.001$ ), *H. influenzae* ( $R^2$  0.07,  $P < 0.001$ ) and *S. aureus* ( $R^2$  0.04,  $P = 0.013$ ). These variables together accounted for 29% of the total variance in the community structure.

## Longitudinal analysis

There were 122 infective exacerbations recorded by the 64 patients that completed follow up over the 6-month period. Forty-one patients had two or more exacerbations over 6 months, with 14 patients having no exacerbations and 9 patients having only one.

There was no significant difference in the exacerbation rate in patients on prophylactic antibiotics or patients with or without *P. aeruginosa*. In total, 37 exacerbations coincided with clinic visit. 18 (50%) of these were not accompanied by the growth of bacteria in culture,

**Table 2. Comparison of 16S rRNA gene sequences and microbial culture.**

Culture ID	OTU ID	Both +ve	Both -ve	Culture -/OTU +	Culture + /OTU -	Accuracy	False Discovery Rate	Sensitivity
<i>Pseudomonas aeruginosa</i>	<i>Pseudomonas_915</i>	106 (28%)	164 (43%)	97 (26%)	13 (3%)	71%	11%	52%
<i>Haemophilus influenzae</i>	<i>Haemophilus_542</i>	31 (8%)	203 (53%)	146 (38%)	0 (0%)	62%	0%	18%
<i>Moraxella catarrhalis</i>	<i>Moraxella_945</i>	16 (4%)	313 (82%)	51 (13%)	0 (0%)	87%	0%	24%
<i>Staphylococcus aureus</i>	<i>Staphylococcus_300</i>	20 (5%)	313 (78%)	32 (8%)	35 (8%)	83%	64%	38%
<i>Stenotrophomonas maltophilia</i>	<i>Stenotrophomonas_401</i>	11 (3%)	323 (85%)	46 (12%)	0 (0%)	88%	0%	19%
<i>Proteus vulgaris</i>	<i>Proteus_1088</i>	1 (0.3%)	370 (97%)	8 (2%)	1 (0.3%)	98%	50%	11%
<i>Streptococcus pneumoniae</i>	<i>Streptococcus_338</i>	13 (3%)	23 (6%)	343 (90%)	1 (0.3%)	9%	7%	4%

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despite non-usage of antibiotics during the previous 30 days. Only 4/37 (11%) of the exacerbation samples were associated with isolation of a bacterium not seen in prior samples.

We used 16S rRNA gene quantitative PCR to measure the total bacterial load in the samples. The median copy number was  $2.2 \times 10^8$  per ml of sputum at baseline (IQR  $4.8 \times 10^7$ – $8.6 \times 10^8$ ). We found no significant difference in bacterial load between the baseline, exacerbation, treatment or recovery samples (see [S6 Fig](#) in the online data supplement).

There was no significant difference in any diversity measure between exacerbation samples and paired baseline ( $n = 13$ ), treatment ( $n = 5$ ) or recovery samples ( $n = 21$ ) from the month immediately prior to the exacerbation (see [S7 Fig](#) in the online data supplement). There was also no difference in any diversity measure between exacerbation samples and those immediately following recovery.

A multivariate ANOVA showed that 59.6% of the total variation in longitudinal  $\beta$ -diversity could be explained by the subject the sample was from, compared to 5.8% for the underlying disease ([Fig 2B](#)).

We calculated a per subject median Bray Curtis dissimilarity for those with 3 or more samples to give an individual range of diversity in different samples from individual subjects. A high value indicated that the microbial communities changed from month to month in relative abundance and membership, and a low value indicated that samples from the same subject were similar. The median dissimilarity was selected in order to reduce sensitivity to outlying data points and all possible pairs of dissimilarities were included. We observed a wide range of stability for the normally distributed metric (range 0.12 to 0.98; mean = 0.58, median = 0.60) ([Fig 2C](#)).

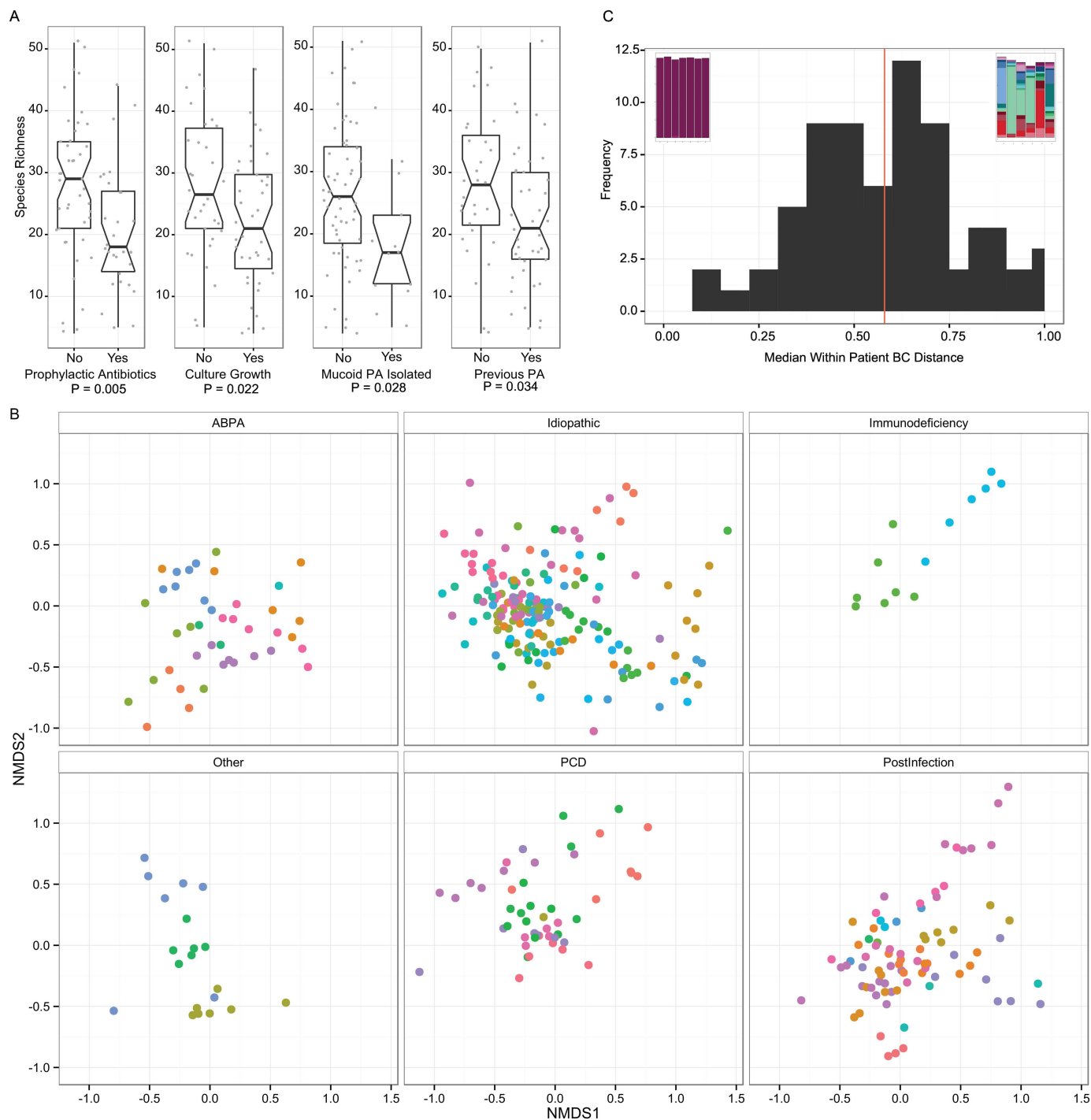
The stability metric did not correlate significantly with clinical characteristics including BMI, prophylactic antibiotic treatment, number of exacerbations, average lung function (FEV1 [forced expiratory volume] percent predicted, FVC [forced vital capacity] percent predicted), underlying cause, and carriage of *P. aeruginosa*. Additionally, there was no difference in this metric between patients who did or did not change clinically during the study.

Given the high individuality of the microbial communities, we produced per subject plots of the OTU relative abundances alongside the clinical data and quantitative PCR of the 16S rRNA gene as a proxy for bacterial load. A wide spectrum of community compositions, stability, and pathogen load were observed, ([Fig 3A to 3D](#) for examples and [S8 Fig](#) in the online data supplement for all other subjects).

## Discussion and conclusion

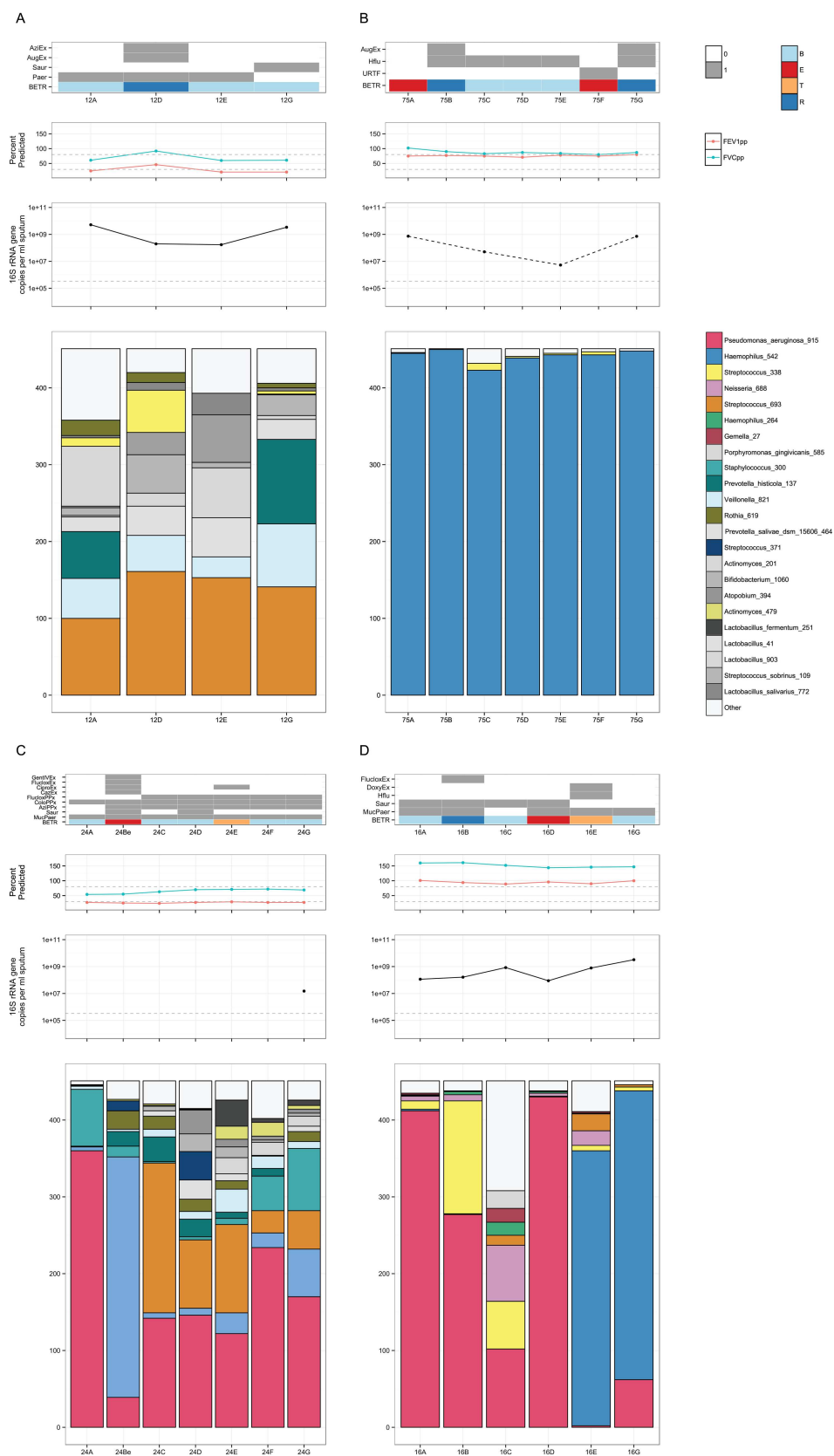
The study shows substantial complexity in the airway microbiome in patients with chronic bronchial infection, with frequent mixed infections and potentially important discrepancies between DNA sequencing results and classical clinical culture. The structure of microbial communities within patients was highly individual, relating only weakly to underlying disease, and often stable over the six months of the study despite the use of antibiotics and changes in clinical state.

The differences between culture and 16S rRNA gene sequencing show that the common complexity of pathogen growth is captured incompletely by standard microbial culture. In particular the presence of *H. influenzae* appears under-recognised by culture, and *Pseudomonas* spp. and *S. aureus* were at times present in culture and not detected by sequencing. This may reflect the capacity of culture to isolate pathogens when they are present in very low numbers, and the ability of *Pseudomonas* spp. and *S. aureus* to outgrow other organisms in culture. Since the discrepancy in *S. aureus* was most marked, primer sequences were checked for specificity for this group of organisms and found to have 100% identity to the *S. aureus* target. Inefficient



**Fig 2.** 2A. Boxplots of species richness for cross-sectional baseline samples comparing clinical categories. Notches indicate 95% confidence interval. P values were calculated using Welch's T test. 2B. Non-metric multi-dimensional scaling plot of Bray-Curtis dissimilarity. This ordination plot visually represents the Adonis results. The plot has been split by underlying cause of non-CF bronchiectasis to reduce over-plotting and to enable clearer visualisation of clustering of points, although each panel can be considered to be directly overlaid upon one another. Each point represents a sample and the larger the distance between points the larger the difference in community structure of those samples. Samples from the same patient have the same colour. Samples from the same patient tend to cluster together, illustrating the high individuality. There is some separation of points evident in the underlying diseases, e.g. Post-infectious samples tend to be present in the bottom right of the plot, PCD top right, ABPA central bottom and idiopathic more widely distributed. 2C. Histogram of the median per patient Bray Curtis dissimilarity. Bray Curtis dissimilarity was calculated for each patient with more than 3 samples and ranged from 0.12 to 0.98. The embedded stacked bar plots illustrate the patients at the two extremes, least diverse and most stable to most diverse and variable.

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**Fig 3. Selected subject plots.** Each subject is represented by four plots, from top to bottom: clinical variables including antibiotic treatment, growth of microorganisms on clinical culture and B,E,T,R category; Lung function as FEV1% predicted (red), FVC % predicted (green) with 30% and 80% represented by the grey dotted line; bacterial load as measured by 16S rRNA gene qPCR in copies per ml of sputum with the detection limit of the assay indicated by the grey dotted line; stacked barplots of the OTUs present in each sample. Colour coding for top 26 OTUs consistent between plots, with greyscale used for the remaining OTUs. Rare OTUs in each plot are summed as "Other". 3A Subject 12: 68 yr old male with ABPA, normal BMI and 2 exacerbations during the study period. The patient had the highest median Bray-Curtis dissimilarity. *Streptococcus\_693* was the most abundant OTU in every sample (although not dominant) but other OTUs changed in relative abundance from sample to sample. Bacterial load changed substantially over the sampling period. 3B Subject 75: 64 yr old female, post-infectious, underweight and 2 exacerbations during the study period. The patient had the lowest median Bray-Curtis dissimilarity and most stable microbial community, dominated by *Haemophilus\_542*, despite two clinical exacerbations and treatment with Augmentin. Bacterial load varied by two orders of magnitude from  $10^7$  to  $10^9$  copies per ml of sputum. 3C Subject 24: 60 yr old male, unknown bronchiectasis cause, normal body mass index (BMI) and 2 exacerbations during the study period. The patient did show changes in bacterial community that coincided with clinical states, such as an exacerbation at time point Be associated with a large increase in abundance of *Stenotrophomonas\_401*. Antibiotic treatment resolved the exacerbation and *Stenotrophomonas\_401* proportions returned to lower levels. 3D Subject 16: 65 yr old male with ABPA, normal BMI and 2 exacerbations during the study period. The patient had an exacerbation at samples D and E, with *Pseudomonas\_aeruginosa\_915* initially dominant being replaced by *Haemophilus\_542*. The proportion of *Haemophilus\_542* and bacterial load in the samples increased, suggesting active growth of *Haemophilus\_542* that was supported by coincident clinical culture of *H. influenzae*.

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DNA extraction can be of concern with Gram positive organisms, although the bead-beating approach has been employed widely and in our hands efficiently lyses *Mycobacteria* and fungi [17]. It has also been validated for endospore extraction, so we do not believe that inefficient DNA extraction has occurred here. It is possible however that relatively inefficient amplification of 16S rRNA gene sequences from genomic DNA of *S. aureus* against a mixed template background may have occurred.

A limitation of 16S rRNA sequencing is that particular OTUs may not define bacterial species, best exemplified by the inability to identify *Streptococcus pneumoniae* or *S. mitis* among the streptococcal OTUs. It is likely that the discrepancy between culture and the 16S rRNA gene sequencing is caused by the summing of multiple different Streptococcal species, including common respiratory commensals, into a single OTU. OTU analysis also cannot be used to define pathogenicity, and does not give information about antibiotic susceptibility.

The microbiome in our patients may reflect their advanced disease and treatment with multiple courses of antibiotics over many years. Although the literature does not yet provide a clear meta-analysis of the normal airway microbiome, the distribution and diversity of OTUs in these patients seems to differ markedly from that seen in normal subjects or those with asthma or chronic obstructive pulmonary disease (COPD), where Gram negative anaerobes such as *Prevotella* or *Veilonella* spp. may make up to ~30% of OTU abundance [4,8,18]. Nevertheless, these species remained amongst the most prevalent and may be non-pathogenic members of the commensal microbiota or may have an involvement in disease processes[19].

It is unclear whether the lower abundance of these organisms in our patients is a result of the presence of more abundant organisms, competition with other organisms such as *P. aeruginosa* and *H. influenzae*, or whether it follows selection by treatment with antibiotics. It is possible that depletion of the commensal community may itself facilitate early pathogen introduction to community and dominance. In chronic obstructive pulmonary disease, acquisition of a new bacterial strain has been associated with exacerbations [20]. Here we lack strain level resolution, although new OTUs could only rarely be seen associating temporally with exacerbations. For example, Subject 15 *Pseudomonas\_aeruginosa\_915* takes over from *Streptococcus\_338* at exacerbation, in subject 24 *Stenotrophomonas\_401* from *Pseudomonas\_aeruginosa\_915* whilst there is an increase in *Staphylococcus\_300* in patient 67.

Our observation that prophylactic antibiotics correlated with reduced alpha-diversity supports a community level impact of prophylactic therapy. No individual antibiotics correlated with alpha-diversity; however a large number of different antibiotics were used within the cohort and the study period. Trials of individual antibiotics would be a more appropriate method of assessing individual antibiotic treatment effects on variability. There was however no correlation of alpha or beta diversity or bacterial load with important clinical parameters such as severity or duration of disease, suggesting that analyses of diversity are not simply a reflection of disease severity and that they should be used alongside the recognition and enumeration of pathogens.

The culture of mucoid *P. aeruginosa* was associated with changes in community structure, which might be due to the impact on the local environment in the lung of this phenotype or could be a marker of longer colonisation as mucoidy is associated with chronic colonisation [21].

Our finding that the bacterial community relates poorly to clinical state supports the results of longitudinal studies of patients with Cystic Fibrosis [12,22–25]. Overall in these studies no, or poor associations with clinical state are seen when taking each cohort as a whole. However, as we have also demonstrated here, in subsets of patients associations can be seen. CF is a much more clinically defined disease than non-CF bronchiectasis, though strong individuality in the microbiota may also mask the influence of the microbiota on clinical state. Viral PCR is not routinely performed in these patients, so we are unable to rule this out as associated with exacerbations. Fungal culture is routinely performed and one subject did culture an *Aspergillus* at exacerbation although this was not treated and was also present in the following recovery sample when the patient was no longer exacerbating and completing courses of antibiotics. This result also underlines the complexity of these disease processes as other factors such as undiagnosed comorbidities, viral and fungal infection, environmental exposure and the immune response are all also likely to all also play a role to a greater or lesser extent in each subject.

The present study included a number of different underlying etiologies of non-CF bronchiectasis in order to allow comparison of these and to assess whether the microbial communities supported these clinical classifications. After taking into account the strong per subject differences in microbial communities, a small proportion of the variance could be ascribed to etiology. This might indicate that in larger studies stratification by etiology would reduce the variance and increase power to detect disease-specific effects.

Here we find that *H. influenzae* and *P. aeruginosa* are the two most common and dominant pathogens by 16S rRNA gene sequencing. In our PERMANOVA model isolation of mucoid *P. aeruginosa* or *H. influenzae* had the greatest influence on community structure as a whole. Changes in the microbiota composition have also been demonstrated after prophylactic treatment with erythromycin in a more homogenous and milder group of bronchiectasis patients, though only when considering individuals dominated by *H. influenzae* [26]. This suggests that although underlying etiology can be important, the dominant organism present, irrespective of etiology, has greater influence and could be targeted accordingly.

Discerning the impact of individual antibiotics was difficult in this dataset, given the extremely individual microbiota and the range of different antibiotics used for prophylaxis, exacerbation, and non-respiratory reasons. Colistin was the only antibiotic that had a significant impact on community structure. It was the only nebulised antibiotic to be used frequently within the cohort, so the impact of nebulisation, where a higher concentration of antibiotic is expected local to the respiratory tract, is difficult to separate from the actions of Colistin itself. The influence of Colistin on community structure is not independent of the influence of mucoid *P. aeruginosa* as this antibiotic would be used to target the organism in this patient group [27].



We separated antibiotics into those given prophylactically for respiratory reasons, respiratory exacerbation antibiotics and non-respiratory antibiotics. Only a small number of non-respiratory antibiotics were prescribed to study patients and as these are at a lower dose and likely to have less influence on the microbial communities in the airways, these were not included in the BETR definition.

Possible explanations for the poor correlation between the sputum microbiome and clinical course include that the disease is driven by mucosal events that are poorly reflected in sputum, or that the activity of the microbiome is changing independently of bacterial load (for example through expression of virulence factors), or that exacerbations are being driven by virus or fungal infections rather than bacteria. Our study was not designed to examine exacerbation specifically, as its aim was to observe changes of the microbial community in a diverse non-CF bronchiectasis cohort over time. Consequently, only a relatively small number of exacerbation (E) or treatment (T, exacerbation with current antibiotic treatment) samples were obtained.

We were unable to obtain a full set of samples analysed by all methodologies from every individual. As can be seen in the consort diagram (S2 Fig) this was due to subjects withdrawing part way through the study, subjects being unable to expectorate sputum on a particular occasion and insufficient material for analysis at the further methodological stages of 16S rRNA gene sequencing. Lack of sputum expectoration was also an issue with T (treatment) samples as treatment reduced sputum volume. As 16S rRNA gene qPCR was applied later than 16S analyses it was only performed for subjects with sufficient sample remaining.

Sputum samples in some individuals revealed the same community every month for six months, showing consistency of community structure. The variability in other patients might result from a respiratory tract rendered inhomogeneous by advanced disease, which may confound a whole airway sample such as sputum [4,28]. More frequent sampling could establish whether changes in the microbiota not coincident with clinical change were due to sampling variability, community variability as a result of drivers with no clinical impact (e.g. competition between microorganisms) or clinical changes that occurred between visits and were not captured. Longer and more frequent sampling may also allow the development and application of more sensitive statistical and ecological methods that will also be of benefit. It is important nevertheless that the number of patients and the period of time studied here is substantial compared to previous studies of BX and other chronic suppurative lung diseases.

It is possible that serial sampling of the sputum microbiome with nucleic acid sequencing may be used to better therapeutic outcomes for patients with chronic bronchial infection. Given the extremely high individuality, longitudinal assessments of the individual patient's microbiota during periods of health may become the best control for managing their exacerbations.

Diverse bacterial communities can be resistant to pathogen invasion, so prophylaxis with more targeted antibiotics that maintain diversity might be beneficial. Our results suggest that accurate profiling of the respiratory microbiome will lead to improvements in the understanding of the role of prophylactic antibiotics on community diversity, accurate recognition of pathogens and their interactions in complex communities, and better identification and treatment of true infective exacerbations.

Conducting even larger studies that will allow stratification of patients by underlying etiology, dominant pathogen and antibiotic treatment will increase power significantly and may lead to identification of stronger links between clinical state and the microbiota present. A number of inhaled antibiotics are in development for bronchiectasis and a better understanding of their benefits and the consequences of their use on the microbial community is needed.

## Supporting information

**S1 Appendix. Containing supplementary methods and details of the PERMANOVA statistical model.**

(DOCX)

**S1 Fig. Quantile-quantile plots and Shapiro-Wilks test results for the diversity metrics.**

Species richness is confirmed to be normally distributed, while the other three measures, species evenness, inverse Simpson's index and Shannon's diversity index are non-normally distributed.

(TIF)

**S2 Fig. Diagram indicating how many patients were enrolled, how many samples were taken and the fates of each sample during sample extraction, amplification, sequencing and sequence processing.**

(TIF)

**S3 Fig. Ranked abundance curve of all samples sequenced indicating number of samples lost for different levels of sequence depth.**

(TIF)

**S4 Fig. Rarefaction curves of species richness indicating that in all samples random-resampling, "rarefaction" to 451 reads captures the diversity of the samples effectively.**

(TIF)

**S5 Fig. Phylogenetic tree of the representative read from the *Haemophilus*\_542 OTU with nearest neighbours from the Silva version 111 database indicates that this OTU represents *Haemophilus influenzae*.** Alignment positions 509 to 909 (*Escherichia coli* numbering) were used to construct a neighbour joining tree of partial 16S rRNA gene sequences using ARBs (ref) neighbour function with 500 bootstraps. Bootstrap values less than 50% are collapsed to multi-furcations. The tree was rooted for display purposes with an outgroup consisting of *Providencia* spp., *Morganella* spp. and *Proteus* spp. The position of the *Haemophilus*\_542 OTU is highlighted in red.

(TIF)

**S6 Fig. Boxplots of bacterial load by B,E,T,R category.** There is no significant difference in load. Samples with current treatment for exacerbation have the lowest median, though numbers of non-baseline samples are relatively low.

(TIF)

**S7 Fig. Line plots of the change in Shannon's diversity index difference between BETR categories.** Baseline (B) samples are paired with samples taken the following month of each of the categories. It is possible that within an individual more than one pair of immediately following samples can be found, in this case, within each plot only the first from that subject was used.

(TIF)

**S8 Fig. Subject plots.** Stacked barplots of every subject with three or more samples. As with [S3 Fig](#) each subject is represented by four plots, from top to bottom: clinical variables including antibiotic treatment, growth of microorganisms on clinical culture and B,E,T,R category; Lung function as FEV1% predicted (red), FVC % predicted (green) with 30% and 80% represented by the grey dotted line; bacterial load as measured by 16S rRNA gene qPCR in copies per ml of sputum with the detection limit of the assay indicated by the grey dotted line; stacked barplots of the OTUs present in each sample. Colour coding for top 26 OTUs is consistent between

plots to allow comparison of the most common organisms; greyscale is used for the remaining OTUs, which is consistent only within each plot as there are insufficient colours for this to be possible across all plots. Rare OTUs in each plot are summed as “Other”.  
(PDF)

**S1 Table. An excel spreadsheet of the clinical data used in the analysis of the 16S rRNA gene sequence data.**  
(XLSX)

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**Supervision:** ML MFM WOCC.

**Visualization:** MJC ML.

**Writing – original draft:** MJC.

**Writing – review & editing:** MJC EMT CH GKM PLJ MC AJ RW DB WOCC MFM ML.

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